



IOT Utkal Energy Services Limited

Regd. Office: Plot No. 188/183,
Zero Point, Udayabata, Paradeep,
Jagatsinghpur Odisha, India, 754141

CIN: U45208OR2009PLC011389

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31.03.2025

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Girjesh Shrivastava, Compliance Officer of IOT Utkal Energy Services Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that-

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that the no noncompliance(s) was observed in the previous quarter and no remedial action(s) was required to be taken along with timelines in this regard.

For IOT Utkal Energy Services Limited

Girjesh Shrivastava
Compliance Officer

Date: 28-04-2025
Place : Mumbai